

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: BRACK STANFORD AND ASSOCIATES)
ITS OFFICERS, DIRECTORS,) File No. 0800393
EMPLOYEES, AFFILIATES, SUCCESSORS,)
AGENTS AND ASSIGNS,)
AND BRACK STANFORD)

ORDER OF CANCELLATION

TO THE RESPONDENTS: Brack Stanford (CRD #433497)
Brack Stanford and Associates (CRD# 105688)
793 Mesa Grande Drive
Palm Desert, CA 92211.

This matter having come on for consideration before Jesse White, Secretary of State, through his designated representative, the Securities Director, and having been fully advised in the premises by the staff of the Illinois Securities Department, Office of the Secretary of State (the "Department"), the Secretary of State herein finds:

1. That at all times relevant, the Respondents Brack Stanford and Brack Stanford and Associates were registered as an Illinois investment adviser and investment adviser representative pursuant to Section 8 of the Illinois Securities Law 815 ILCS 5/1 et seq. (the "Act"); Respondents did not renew their registrations as of December 31, 2008;
2. That during the month of January 21st, 2009, the Respondents advised the Department that Respondent Brack Stanford was in the process of retiring and therefore Respondents would not be renewing their registration in Illinois;
3. That on or about November 17, 2008, The Illinois Department of Securities ("Department") sent Respondents a Subpoena Duces Tecum of the Secretary of State of the State of Illinois ("Subpoena") which required a response by December 1, 2008;
4. That the records of the Department indicate that Respondents received this subpoena on December 2, 2008;

Order of Cancellation

-2-

5. That as of March 10, 2008, the Respondents had not responded to said subpoena;
6. That on or about March 10, 2009, the Department sent Respondents a letter ("letter") advising Respondents that the Department had not received the required response to the aforesaid subpoena, that such response was overdue, and that the Department required a response to said subpoena within 5 days of receipt of the letter;
7. That the Department's records indicate that Respondents received the aforesaid letter on March 19, 2009;
8. That over 5 days have passed since Respondent's have received the aforesaid letter;
9. That as of this date, the Respondents have failed to respond to either the aforesaid letter or subpoena;
10. That Section 8.E(2) of the Act provides, inter alia, that if the Secretary of State finds that any registrant or applicant for registration is no longer in business as an investment adviser or investment adviser representative or cannot be located after reasonable search, the Secretary of State may by order cancel the registration or application;
11. That as a result of the Respondents' representation to the Department that he was in the process of retiring and would not be renewing their registration in Illinois, as well as Respondent's failure to respond to the aforesaid subpoena and letter sent by the Department in this matter, the Department has determined that the Respondent has ceased to do business as an investment adviser and investment adviser representative in the State of Illinois;
12. That it is in the public interest to enter an order which cancels the investment adviser and investment adviser representative registrations of the Respondent in the State of Illinois.

NOW THEREFORE IT IS HEREBY ORDERED: That the investment adviser and investment adviser representative registrations of the Respondents in the State of Illinois are hereby cancelled.

Order of Cancellation

-3-

DATED: This 4th day of May 2009.



JESSE WHITE
Secretary of State
State of Illinois

Attorney for the Secretary of State:

Johan Schripsema
Illinois Securities Department
350 Seright, Suite C Inve
Harrisburg, Illinois 62946
Telephone (618) 253-2007

Hearing Officer:

Jon K. Ellis
1035 South 2nd Street
Springfield, Illinois 62704
Telephone (217) 528-6835
Fax: (217) 528-6837